

## Client information on the Financial Services Act (FINSÄ)

*The new Federal Financial Services Act (FINSÄ) came into force in Switzerland on January 1, 2020. On the one hand, it contains regulations on the offer of financial services and financial products and, on the other hand, protective mechanisms for investors.*

*Below we summarize the most important information for you.*

### **About us**

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The Strategic Swiss Advisors Sàrl (hereinafter "the Company") is an independent asset management company domiciled in Geneva. It applied for a license from the Swiss Financial Market Supervisory Authority (FINMA) as an Manager within the meaning of Art. 17 para. 1 FINIA. The application is pending with FINMA. The Company is directly supervised by Organisme de Surveillance pour Intermédiaires Financiers & Trustees SO-FIT.

As a (future) partner company of Aquila Ltd, the company can draw on specific expertise in the areas of legal, compliance & risk, accounting and IT (outsourcing). Aquila Ltd holds a banking license and is also supervised by FINMA.

### **Our services**

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We offer our clients services in the area of discretionary asset management.

All services we offer as well as the corresponding costs are described in detail in the Mandate agreement.

### **Conflicts of interests**

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As part of our service, we take into account a comprehensive range of products and services, which is narrowed down by proven selection processes aligned with your needs. For this purpose, we can also draw on research material from third parties (e.g. Custodians). We strive to exclude conflicts of interest wherever possible and otherwise disclose them transparently. If research material from third parties is used and taken into account in the implementation of the investment strategy, this is done exclusively after careful examination and selection from the entire market offering considered in your best interest.

All our employees are bound by our internal code of conduct, which ensures that the needs of our clients are paramount. For further information please contact us.

### **Client segmentation**

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An important element of client protection under the FINSÄ is the division of clients into investor protection classes. We divide them into the three segments of "private clients," "professional clients," and "institutional clients as defined by FINSÄ. Private clients enjoy the highest level of investor protection. Unless you receive information to the contrary, you are classified as a private customer. Of course, you can request that your classification under FINSÄ be changed at any time. We will then discuss with you the possible alternatives and the associated requirements.

## ***Ombudsman***

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If, following a written complaint from you to the Company, a conflict arises that cannot be settled bilaterally, you can turn to the ombudsman's office. The aim of the ombudsman's office is to reach an agreement between the parties in a neutral and independent manner. The services of the ombudsman's office are generally inexpensive or even free of charge and are offered in German, French, Italian and English. We are affiliated with the following ombudsman office:

### **OFS Ombud Finance Switzerland**

16 Boulevard des Tranchées

1206 Geneva

<https://ombudfinance.ch>

## ***Contact***

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Do you have any further questions? We are at your disposal under the following contact details:

Strategic Swiss Advisors Sàrl

19 Boulevard Georges-Favon

1204 Geneva